CERTIFICATE OF MAILING DEC 1 9 2003 hereby certify at these papers are being deposited with the U.S. Postal Service as First Class in an envelope addressed to: Mail Stop: Patent Application, Commissioner for

<u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

Applicant:

MODY et al.

Examiner:

Unassigned

Serial No.:

10/659,499

Group Art Unit: Unassigned

Filing Date:

09/10/2003

Attorney Docket No. 4200.02 US

For:

NOVEL METALLOTEXAPHYRIN

DERIVATIVES

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in the above-entitled patent application are the following documents:

- 1. Transmittal of Information Disclosure Statement (In Duplicate);
- 2. PTO Form 1449 (5 pages);
- 3. Certificate of Mailing by First Class Mail; and a
- Return Postcard 4.

Please charge Deposit Account No. 16-1450 any fees that may be required, or credit any overpayment to Account No. 16-1450. A duplicate of this document is enclosed.

Respectfully submitted,

15 Dec. 103

Reg. No. 39,461

Correspondence Address: Pharmacyclics, Inc. 995 E. Arques Avenue

Sunnyvale, CA 94085-4521 Tel: 408-774-0330

Fax: 408-774-0340 Email: Legal@pcyc.com CERTIFICATE OF MAILING

ereby certify that the paper is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on December 15, 2003

Rhonda J. Stine

Date

PATENTS Attorney Docket No. 4200.02 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: MODY et al.

Serial No: 10/659,499 Group: Unassigned

Filed: 09/10/2003 : Examiner: Unassigned

For: NOVEL METALLOTEXAPHYRIN :

DERIVATIVES

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Transmitted herewith for filing in the above-entitled patent application is an Information Disclosure Statement, in compliance with 37 CFR 1.97, with referenced documents A1-A55, B1-B14 & C1-C3. Also included are a return postcard and PTO Form 1449.

Applicants respectfully submit that the above-identified patent application is a continuation in part, and claims the benefit of priority from, U.S. Patent Application No. 09/941,924, filed August 28, 2001, now U.S. Patent No. 6,638,924, issued October 28, 2003. Pursuant to 37 CFR 1.98(d) Applicants have not included physical copies of the above-cited references as they were previously filed in the aforementioned parent application.

[X] The Information Disclosur Statement is being submitted: (a) within three months of the filing date, or (b) befor the mailing date of the first Office action on the merits. Therefore, no fee is due under 37 CFR 1.97(b). [] The Information Disclosure Statement is submitted more than three months after the filing date and after the mailing date of the first Office action on the merits, but, before a final action under § 1.113 or a notice of allowance under § 1.311. Applicant(s)' attorney hereby certifies under 37 CFR 1.97(e)(1) that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office on a counterpart foreign application not more than three months prior to the filing of the Statement. Therefore, no fee is due under 37 CFR 1.97(c). The Information Disclosure Statement is submitted more than three months after the filing date and after the mailing date of the first Office action on the merits, but, before a final action under § 1.113 or a notice of allowance under § 1.311. Applicant(s)' attorney hereby certifies under 37 CFR 1.97(e)(2) that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a foreign counterpart application, or, to the undersigned Attorney's knowledge after making reasonable inquiry, was known to any person designated in §1.56(c) more than three months prior to the filing of the Statement. Therefore, no fee is due under 37 CFR 1.97(c). **Deposit Account Authorization** The Information Disclosure Statement is submitted more than three months after the filing date and after the mailing date of the first Office action on the merits, but, before a final action under § 1.113 or a notice of allowance under § 1.311, without certification under 37 CFR 1.97(e). Therefore, only if this entry is checked in the left margin, the \$230.00 fee set forth in §1.17(p) is authorized below. The Information Disclosure Statement is submitted after the mailing date of a final action under § 1.113 or a notice of allowance under § 1.311, but before payment of the issue fee. Applicant(s)' attorney hereby certifies under 37 CFR 1.97(e)(1) that each item of

information contained in the Information Disclosure Statement was cited in a communication

from a foreign patent office on a counterpart foreign application not more than three months prior to the filing of the Statement.

Applicant(s)' attorney hereby certifies under 37 CFR 1.97(e)(2) that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a foreign counterpart application, or, to the undersigned Attorney's knowledge after making reasonable inquiry, was known to any person designated in §1.56(c) more than three months prior to the filing of the Statement.

Applicant(s) hereby petition requesting consideration of the Information Disclosure Statement. Therefore, only if this entry is checked in the left margin, the \$130.00 petition fee set forth in § 1.17(i) is authorized below.

- Please charge \$0.00 to Deposit Account No. 16-1450.
- [X] Please charge any additional fees that may be required, or credit any overpayment to Deposit account No. 16-1450. This is not, however, an authorization to pay the issue fee. A duplicate of this document is enclosed.

Respectfully submitted,

Vinit G. Kathardekar Attorney for Applicant(s) Reg. No. 39,461

Correspondence Address:

Pharmacyclics, Inc. 995 E. Arques Avenue Sunnyvale, CA 94085-4521 Phone: 408.990.7367 Fax: 408.774.0340

Email: Legaal@pcyc.com

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	A1	3,845,770		11/05/74	Theeuw	Theeuwes et al.				-
	A2	4,3	26,525	04/27/82	Swanson et al.					
	A3	4,9	02,514	02/20/90	Barclay	et al.				
	A4	4,9	35,498	06/19/90	Sessier	et al.				
	A5	4,9	92,445	02/12/91	Lawter	et al.				
	A6	5,0	01,139	03/19/91	Lawter	et al.				
	A7	5,0	11,472	04/30/91	Aebisch	er et al.				
	A8	5,02	23,252	06/11/91	Hseih					
	A9	5,04	41,078	08/20/91	Matthe	ws et al.				
	A10	5,12	20,411	06/09/92	9/92 Sessler et al.					
	A11	5,1	59,065	10/27/92 Sessler et al.		et al.				
				FORE	IGN PATE	NT DOCUMENTS		_		
			DOCUMENT NUMBER	DATE		COUNTRY		SUBCLASS	TRANS YES	LATION NO
	B1	wo	90/10633	09/20/90	WIPO /	PCT				
	B2	wo	94/09003	04/28/94	WIPO / PCT					
	В3	wo	94/29316	12/22/94	WIPO /	PCT				
	B4	wo	95/10307	04/20/95	WIPO /	PCT				
	B5	wo	95/21845	08/17/95	WIPO / PCT					
			OTHER DOCUME	NTS (Includin	g Author,	, Title, Date, Pertinent	Pages, Etc.,)	****	
:	i	C1	Garini et al., Spectral Bi	ioimaging, John ¹	Wiley & Se	ons, New York, NY, pp. 8	7-124 (1996).			
		C2	Rockwell et al., "Growtl pp. 449-457 (1972).	h and Cell Popul	ation Kine	tics of Single and Multipl	e KHT Sarcon	nas," Cell Tiss	ue Kinetics	, vol. 1,
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<u> </u>	A12		162,509	11/10/92	Sessler e	et al.			<u></u>		
	A13	5,2	252,720	10/12/93	Sessler e	et al.					
	A14	5,2	256,399	10/26/93	Sessier e	et al.					
	A15	5,2	272,142	12/21/93	Sessler e	et al.					
	A16	5,2	292,414	03/08/94	Sessier e	et al.					
	A17	5,3	302,714	04/12/94	Sessler e	et al.					
	A18	5,3	369,101	11/29/94	Sessler e	et al.	-				
	A19	5,4	132,171	07/11/95	Sessler e	et al.					
	A20	5,4	139,570	08/08/95	Sessler e	et al.					
	A21	5,4	151,576	09/19/95	Sessler e	et al.					
	A22	5,4	157,183	10/10/95	Sessler e	et al.					
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	В6	wc	O 96/09315	03/28/96	WIPO /	PCT					
	В7	wc	O 96/38461	12/05/96	WIPO /	PCT					
	В8	wc	O 96/40253	12/19/96	WIPO /	PCT					
	В9	wc	O 97/26915	07/31/97	WIPO / I	PCT					
	B10	wc	O 97/35617	10/02/97	WIPO / 1	PCT					
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	A23 5,457,195 10/10/95 Se			Sessler	et al.				
	A24	5,475,104	12/12/95	Sessler	et al.				
	A25	5,504,205	Sessler	et al.					
	A26	5,525,325	06/11/96	Sessler	et al.				
	A27	5,530,123 06/25/96 Se			et al.				
	A28	5,543,123	08/06/96	Hofmai	ın et al.				
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· · · · · · · · · · · · · · · · · · ·	A30 5,565,552		10/15/96	Magda	et al.			.	
	A31	5,567,687	10/22/96	Magda	et al.				
	A32	5,569,759	10/29/96	Sessler	et al.				
	A33	5,580,543	12/03/96	Sessier	et al.				
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	B11	WO 97/46262	12/11/97	WIPO /	PCT				
	B12	WO 98/07733	02/26/98	WIPO /	PCT				
	B13	WO 00/01413	01/13/00	WIPO /	PCT	<u> </u>			
	B14	WO 02/17908	03/07/02	WIPO / PCT					
	, ,	OTHER DOCUM	ENTS (Includi	ng Author	, Title, Date, Pertin	ent Pages, Etc	.)	·	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	A34	5,583,220	12/10/96	Sessler	et al.						
	A35	5,587,371	12/24/96	Sessler	et al.						
	A36	5,587,463	12/24/96	1/97 Hemmi et al. 21/97 Sessler et al. 21/97 Magda et al. 24/97 Sessler et al. 24/97 Hemmi et al. 21/97 Hemmi et al.							
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	A39	5,595,726	01/21/97								
	A40	5,599,923	02/04/97								
	A41	5,599,928	02/04/97								
	A42	5,601,802	02/11/97								
	A43	5,607,924	03/04/97								
	A44	A44 5,616,345 04/01/97			eoghegan et al.						
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	A45	5,622,946	04/22/97	Sessler o	et al.					
	A46	5,672.490	09/30/97	Sessler o	et al.					
	A47	5,714,328	02/03/98	Magda	et al.					
	A48	5,775,339	07/07/98	Woodbi	urn et al.					
.	A49	5,776,925	07/07/98	Young e	et al.					
	A50	5,789,491	08/25/98	Magda	et al.					
	A51	5,801,229	. 09/01/98	Sessler e	et al.					
	A52	5,955,586	09/21/99	Sessler e	et al.		·			
	A53	6,022,526	02/08/00	Magda e	et al.					
	A54	6,022,959	02/08/00	Magda e	et al.					
	A55	6,638,924	10/28/03	Mody et	t al.					
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